



# Department of Defense

## DIRECTIVE

February 1, 1989  
NUMBER 5141.1

DA&M

SUBJECT : Assistant Secretary of Defense (Program Analysis and Evaluation)

References: (a) DoD Directive 5141.1, "Director, Program Analysis and Evaluation, " September 22, 1982 (hereby canceled)  
(b) Title 10, United States Code  
(c) DoD Directive 5000.4, "OSD Cost Analysis Improvement Group, " October 30, 1980  
(d) DoD 5025. 1-M, "DoD Directives System Procedures , " April 1981, authorized by DoD Directive 5025.1, October 16, 1980  
(e) DoD Directive 7750.5, "Management and Control of Information Requirements , " August 7, 1986

### A. REISSUANCE AND PURPOSE

This Directive reissues reference (a) and pursuant to the authority vested in the Secretary of Defense under reference (b):

1. Designates one of the positions of Assistant Secretary of Defense as the Assistant Secretary of Defense (Program Analysis and Evaluation) (ASD(PA&E)).
2. Assigns responsibilities, functions, relationships, and authorities, as prescribed herein, to the ASD(PA&E).

### B. DEFINITION

DoD Components. The Office of the Secretary of Defense (OSD), the Military Departments, the Joint Chiefs of Staff (JCS), the Joint Staff, the Unified and Specified Commands, the Defense Agencies, and the DoD Field Activities.

### C. RESPONSIBILITIES

The Assistant Secretary of Defense (Program Analysis and Evaluation) (ASD(PA&E)), as the principal staff assistant to the Secretary of Defense for DoD program analysis and evaluation, shall:

1. Provide advice, make recommendations, and participate in the development of policies and the preparation of planning, fiscal, and materiel support guidance upon which DoD program projections are based.
2. Perform analyses and evaluations of plans, programs, and budget submissions in relation to projected threats, allied contributions, estimated costs , resource constraints, and U.S. defense objectives and priorities.
3. Identify issues and evaluate alternative programs.
4. Initiate programs, actions, and taskings to ensure adherence to DoD policies and national security objectives, and ensure that programs are designed

to accommodate operational requirements and promote the readiness and efficiency of the U.S. Armed Forces.

5. Review, analyze, and evaluate programs, including classified programs, for carrying out approved policies and standards.

6. Ensure that the costs of DoD programs, including classified programs, are presented accurately and completely.

7. Assess the effects of DoD spending on the U.S. economy, and evaluate alternative policies to ensure that the DoD program can be implemented efficiently.

8. Provide leadership in developing and promoting improved analytic tools and methods for analyzing national security planning and the allocation of resources.

9. Serve on boards, committees, and other groups pertaining to the ASD(PA&E)'s functional areas, and represent the Secretary of Defense on PA&E matters outside the Department of Defense.

10. Perform such other duties as the Secretary of Defense may assign.

#### D. FUNCTIONS

In executing assigned responsibilities, the ASD(PA&E) shall:

1. Carry out the responsibilities described in section C., above, for the following functional areas:

- a. General purpose force structure, both active and reserve.
- b. Strategic and theater nuclear force structure.
- c. Mobility force structure and pre-positioning plans.
- d. Force readiness and capabilities.
- e. Weapon systems and major items of materiel.
- f. Implications for manpower resources of specific force structure plans.
- g. Support systems.
- h. Contingency plans.
- i. Materiel support programs and war reserve stocks.
- j. Deployment plans and overseas basing requirements.
- k. Mobilization plans.
- l. Effects of the DoD program on the economy and the industrial base.
- m. Security assistance programs.

- n. Allied and foreign military requirements and capabilities.
- o. Nuclear warhead requirements.
- p. Such other areas as the Secretary of Defense may from time to time prescribe.

2. In coordination with the Under Secretary of Defense (Acquisition), perform critical reviews of requirements, performance, and life-cycle costs of current and proposed weapon systems, including reviews of Cost and Operational Effectiveness Analyses (COEA) submitted in support of Defense Acquisition Board milestone decisions. Provide advance guidance to the Military Departments on issues and techniques to be used in weapon system COEA.

3. Provide leadership and support to the Cost Analysis Improvement Group in accordance with DoD Directive 5000.4 (reference (c)).

4. Provide support to the Planning, Programming, and Budgeting System, especially the program review and execution review phases.

#### E. RELATIONSHIPS

- 1. In the performance of assigned functions, the ASD(PA&E) shall:
  - a. Coordinate and exchange information with other DoD organizations having collateral or related functions.
  - b. Use existing facilities and services of the Department of Defense or other Federal Agencies to avoid duplication and achieve maximum efficiency and economy.
- 2. Heads of DoD Components shall coordinate with the ASD(PA&E) on all matters related to the functions in section D. , above.

#### F. AUTHORITIES

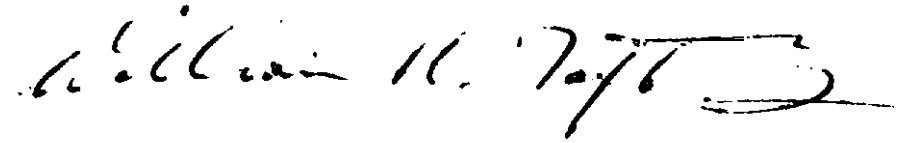
The ASD(PA&E) is hereby delegated authority to:

- 1. Issue Instructions, publications, and one-time directive-type memoranda, consistent with DoD 5025.1-M (reference (d)), that implement policies approved by the Secretary of Defense in the functions assigned to the ASD(PA&E). Instructions to the Military Departments shall be issued through their Secretaries or designees. Instructions to Unified and Specified Commands shall be issued through the Chairman, Joint Chiefs of Staff (CJCS).
- 2. Obtain such reports, information, advice, and assistance consistent with the policies and criteria of DoD Directive 7750.5 (reference (e)), as necessary.
- 3. Communicate directly with heads of DoD Components. Communications to the Commanders of the Unified and Specified Commands shall be coordinated with the CJCS .
- 4. Establish arrangements for DoD participation in those nondefense governmental programs for which the ASD(PA&E) has been assigned primary cognizance.

5. Communicate with other Government Agencies, representatives of the legislative branch, and members of the public, as appropriate, in carrying out assigned functions.

G. EFFECTIVE DATE

This Directive is effective immediately.

A handwritten signature in black ink, appearing to read "William H. Taft, IV". The signature is fluid and cursive, with a large, sweeping "T" and a long, horizontal stroke extending to the right.

William H. Taft, IV  
Deputy Secretary of Defense